



COMPLIANCE TRACKING

Keep Up With Changing Regulatory Requirements

Ensuring compliance in a world where regulations are constantly changing is challenging if you don't have the right software in place. Using manual processes or siloed systems that lack the flexibility and visibility needed to manage compliance risk will leave you with legal, financial, and reputational consequences.

DevonWay Compliance Tracking serves as a central, closed-loop system to help you manage and track all compliance-related issues, tasks, and actions. The no-code platform is fully configurable, putting you in a position to accommodate future regulatory or contractual changes for little to no cost, and to ensure compliance with any government agency's regulations, including DOE, NERC, DOT, DOD, and NRC.

Summary of Benefits

- **Mitigate risk** through configurable workflows that match your organization's specific requirements
- **Ensure ongoing compliance** with regulatory requirements and contractual obligations by connecting seamlessly to related processes such as risk management, audits and assessments, non-conformances, etc.
- **Save money** by avoiding fines and penalties associated with non-compliance

Turn Insights Into Action

A successful compliance management system is designed to keep your organization informed of risks so appropriate action can be taken. With DevonWay Compliance Tracking, process owners can retrieve and share the real-time insights they need to make informed decisions. Managers can modify reports and trigger automatic alerts when certain thresholds have been crossed (e.g. a deadline is approaching), without involving IT.

Key Features

Infinitely Deep Parsing

Break requirement documents down into actionable sub-parts. Assign each sub-part to the appropriate internal group or department so that actions can be monitored through to completion.

Flowdown Visibility

Allow anyone to quickly and easily review the flowdown for reference and see how contracts are broken down and parsed out from top to bottom.

The screenshot displays the DevonWay Compliance Tracking interface. The top navigation bar includes a search bar and a breadcrumb trail: Home > Prime Contract Number DE-ACS > 10 CFR 830 Subpart A. The main content area is titled "10 CFR 830 Subpart A" and includes a description: "Quality Assurance Requirements 1A" and "Functional Area: Management Assurance | Fam 1 A Tester". A status bar at the top right indicates "Status: Requirement Parsing | Assigned: Management Assurance | Active Date: 16-Aug-2019".

Below the title, there is a section for "Reassign current task to" with a search bar and a "Scope" dropdown. The "Requirement Type" section has radio buttons for "Institutional", "Organizational", "Contract", and "Non-Contract". The "Commitment Type" dropdown is set to "Document (prepare, review, revise, update)". The "RSD Document Type" dropdown is set to "UPL". The "Location or URL" field is set to "contract FA".

On the right side, there is a table for "RSD SMEs" with columns: SME Name, Assignment Start, Assignment End, and Assignment Status. The table lists two SMEs: Sheldon Cooper (Active) and Amy Fowler (Inactive).

Below the SMEs table, there is a table for "RSD Subparts/Rules" with columns: Parsed Unit, Task, Active Date, Date Added, Approved By, Approved Date, and RQA (if appl.). The table lists three tasks: "830..." (Periodic Review), "Test R..." (Closed), and "Wine..." (Cancelled).

At the bottom, there is a workflow diagram with five steps: Initiate, Acceptance, Requirement Parsing, Periodic Review, and Close. The "Requirement Parsing" step is currently active and highlighted in orange.

Trigger and Manage Changes to Affected Documents

When contracts are modified, easily identify the affected requirements along with potentially affected procedures, guides, manuals, and more. Trigger reopening of affected documents for review and revision as needed, whether in the DevonWay Document Management system or your third-party document system.

Archive-quality PDF Tools

All uploaded documents are automatically converted to PDF using best-in-class PDF conversion tools. Those PDFs can then optionally be directly annotated by privileged users during the review cycle for quicker gathering of comments and more effective collaboration.

The screenshot shows the DevonWay web application interface. The top navigation bar includes a search bar and several tabs: Home, Prime Contract Number DE-ACS, 10 CFR 850 Subpart A, Risk Heat Map, and Requirement Flow. The main content area is titled "Requirement Flowdown Report" and "IMAS Standards Based Management System". It contains a "FLOWDOWN IDENTIFICATION" section with a table for RSD Title, RSD FA, and Requirement Statement. Below this is a "PU SUBJECT MATTER EXPERTS" table with columns for Name, Assignment Start, Assignment End, and Status. The bottom section is "REQUIREMENT IMPLEMENTING MECHANISMS (RIMS)" with a table for Title, Description, URI, Approved On, and Status. The table shows a requirement titled "Blues Moose Flowchart" with a status of "Active".

FLOWDOWN IDENTIFICATION				
RSD Title	Mace Test RSD	Status	Entire RSD	
RSD FA	Management Assurance FAM1 A Tester	Req'd Assessment		
REQUIREMENT STATEMENT				
PU SUBJECT MATTER EXPERTS				
Name (O&N)	Assignment Start	Assignment End	Status	
Sheldon Cooper	03-Oct-2019		Active	
REQUIREMENT IMPLEMENTING MECHANISMS (RIMS)				
Title	Description	URI	Approved On	Status
Planner	Blues Moose Flowchart	Curabitur pretium tincidunt lacus. Nulla gravida orci a odio. Nulla varius, turpis et commodo pharetra, est eros bibendum elit, nec luctus magna felis sollicitudin mauris. Integer in mauris eu nibh euismod gravida. Duis ac tellus et risus vulputate vehicula. Donec lobortis risus a elit. Etiam tempor. Ut ullamcorper tincidunt convallis euismod turpis, id tincidunt. Maecenas fermentum consequat mi. Donec fermentum. Pellentesque malesuada nulla a mi. Duis sapien sem, aliquet nec, commodo eget, consequat quis, neque. Aliquam faucibus aliquet, elit ut dictum aliquet. Aliquam consequat. Curabitur augue lorem, dapibus quis, laoreet et, pretium ac, nisi. Aenean erat, molestie hac habitasse platea dictumst.		Active

Generate Required Assessment and Inspection Activities

When combined with DevonWay Audits and Assessments, you can flag requirement sources or sub-parts as Required Assessments to specify the assessment type and periodicity; automatically generate annual departmental assessment plans; track past performance against identified requirements; and quickly generate a fully integrated assessment plan across all departments and requirements.

Manage All Contracts

Manage multiple contracts in a single system, along with underlying requirements and compliance activities. Scalable support for unlimited numbers of documents, with full-text keyword search across all indexable content, ensures that users can quickly find what they're looking for.

The DevonWay Compliance Tracking solution serves as a central, closed-loop system to help you manage and track all compliance-related issues, tasks, and actions.



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