

SFYX

SoFi Next 500 ETF

Trading Details

| | |
|----------|-----------|
| Ticker | SFYX |
| CUSIP | 886364306 |
| Exchange | NYSE |

Fund Details

| | |
|--------------------------------|---------------|
| Inception Date | 04/10/2019 |
| Number of Holdings | 494 |
| Gross Expense Ratio | 0.19% |
| Fee Waiver | (0.19%) |
| Net Expense Ratio ¹ | 0.02% |
| Distribution Frequency | Semi-annually |

As of 09/30/2021



Fund Description

The SoFi Next 500 ETF seeks to track the performance, before fees and expenses, of the Solactive SoFi US Next 500 Growth Index. The SoFi Next 500 ETF seeks to provide exposure to the “next 500” largest publicly-traded U.S. companies: the 501st to 1000th-largest as measured by market capitalization.

- **Low Cost** - Pay no management fees for at least the first year of the ETF's launch.¹
- **Low Price Per Share** - Invest with small amounts of money in an ETF with one of the lowest prices per share on the market.
- **Diversified** - Help manage risk by investing in the “next 500” largest U.S. companies.
- **Growth-focused** – SFYX uses a composite growth score to adjust companies' weightings in the ETF. The score takes into account sales growth, revenue growth, and forward-looking revenue estimates. Companies with stronger growth characteristics receive a higher weight.
- **Designed with SoFi investors in mind** - SoFi ETFs target a higher risk-return profile, suitable for long-term investors who are both beginning their investment journeys and well on their way.

Top 10 Holdings

As of 09/30/2021

| Ticker | Company | % |
|--------|-----------------------|------|
| UPST | Upstart | 1.44 |
| DDOG | DataDog | 1.31 |
| NVAX | Novavax | 1.19 |
| NUAN | Nuance Communications | 0.88 |
| HZNP | Horizon Therapeutics | 0.86 |
| MDB | Mogodb | 0.80 |
| AVTR | Avantor | 0.78 |
| NET | Cloudflare Inc | 0.78 |
| MPW | Medical Properties | 0.78 |
| CHGG | Chegg | 0.76 |

Holdings are subject to change

¹The Fund's investment adviser has agreed to waive its Management Fees for the Fund until at least June 30, 2022. The Net Expense Ratio includes Acquired Fund Fees and Expenses (AFFE). If AFFE were excluded, the Net Expense Ratio would be 0.00%.

Performance

Quarter end returns as of 09/30/2021

| | YTD | 1 Mo | 3 Mo | 6 Mo | 1 Yr | 3 Yr | Since Inception |
|------------------------------------|-------|-------|-------|------|-------|------|-----------------|
| Market Price | 15.72 | -2.87 | -0.23 | 5.69 | 43.30 | -- | 16.54 |
| Fund Nav | 15.77 | -2.87 | -0.11 | 5.58 | 43.34 | -- | 16.45 |
| SoFi SoFi US Next 500 Growth Index | 15.74 | -2.87 | -0.11 | 5.56 | 43.51 | -- | 16.50 |

The performance data quoted represents past performance. Past performance does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original cost and current performance may be lower or higher than the performance quoted. For the most recent month-end performance, please visit the Fund's website at <http://sofi.com/invest/etfs>. The market price is the final price at which a security is traded on a given trading day. Net Asset Value (NAV) is value per share on a specific date or time. Returns less than one year are cumulative.

Sector Allocation

As of 09/30/2021

| Sector | Weight % |
|-----------------------|----------|
| Basic Materials | 2.9% |
| Communications | 3.4% |
| Consumer Cyclical | 12.4% |
| Consumer Non-Cyclical | 24.2% |
| Energy | 3.0% |
| Financial | 20.8% |
| Industrial | 14.0% |
| Technology | 16.8% |
| Utilities | 2.5% |

Allocations are subject to change.

Before investing you should carefully consider the Fund's investment objectives, risks, charges and expenses. This and other information is in the prospectus. A prospectus may be obtained by visiting sofi.com/invest/etfs. Please read the prospectus carefully before you invest.

There is no guarantee that the Fund's investment strategy will be successful. Investments in REITs involve unique risks. Securities in the real estate sector are subject to the risk that the value of their underlying real estate may go down. Shares may trade at a premium or discount to their NAV in the secondary market, and a fund's holdings and returns may deviate from those of its index. These variations may be greater when markets are volatile or subject to unusual conditions. A high portfolio turnover rate increases transaction costs, which may increase the Fund's expenses. The Fund is new and has a limited operating history. The fund is passively managed and attempts to mirror the composition and performance of the Solactive SoFi US Next 500 Growth Index. This index tracks the performance of the 500 smallest of the 1,000 largest U.S.-listed companies weighted based on a proprietary mix of their market capitalization and fundamental factors. It is not possible to invest directly in an index. The Fund's returns may not match due to expenses incurred by the Fund or lack of precise correlation with the index. You can lose money on your investment in the Fund. Diversification does not ensure profit or protect against loss in declining markets.

SoFi ETFs are distributed by Foreside Fund Services, LLC.