



# Automate Regulatory Compliance

Organizations in highly regulated industries—including Life Sciences, Insurance, Banking and Energy—are faced with multiple levels of compliance: internal guidelines, industry regulations, and government standards. By automating the standardization, processing and audit trail creation of content from all sources across the enterprise, organizations can reduce the risk of non-compliance and the subsequent consequences—and unlock the value in their unstructured content.

## Working with Adlib brings deep value to **Organizational Compliance**

Through Adlib's proven content intelligence process organizations can automate business processes which support governance policies and regulatory mandates:

**Standardize:** Create compliant, submission-ready high-definition PDF documents to reduce errors associated with manual assembly.

**Analyze:** Perform deep internal analysis of content and structure to identify relevant compliance-related data.

**Categorize:** Use the similarity index with a full audit trail to align to regulatory compliance requirements, and identify and act on near-duplicate files.

**Optimize:** Create fit-for-purpose documents with enhancements such as watermarks and security as part of your approved regulated content publishing process. Use Adlib to gain better understanding and control of your content.

## ARCHITECTURE HIGHLIGHTS

- Standardize content with document conversion technology
- Classify 300+ file types
- Scale easily to meet growing job volumes
- Implement in one department or across the enterprise, as a shared service, or in the cloud
- Integrate with ECMs, PLMs, ARs and more
- Add compression to reduce storage and file transfer costs
- Automate archiving for all or specific categories and follow long-term digital preservation guidelines

## FEATURES & BENEFITS

- Improve findability of content
- Get maximum overview of data and capture trends
- Perform analytics on vital information only
- Reduce dark & dirty data
- Reduce storage costs
- Increase productivity and job satisfaction of employees
- Limit human error in tagging metadata
- Standardize categorization of content enabling ongoing automation of classification

# Recent Examples of Adlib's Compliance Projects

## Ensuring Safety with Extracted Content

An oil corporation working on a multi-billion dollar pipeline ensured the safety of their project and met compliance standards by extracting critical data from their large image-based engineering files. They required a way to rapidly identify all of the locations where a specific part is installed, in order to ensure ongoing safety of the project. The part numbers were in 200,000 engineering documents—which were mostly large, unsearchable images—and were located in both vertical and horizontal text. Adlib provided this organization a tool that allows them to identify all of the parts and part variations indicated on a drawing, based on over 45 tagging conventions. With this tool they are also able to find where on the document the part number is located, and its orientation. Now whenever an engineer modifies a drawing, they can search and find related drawings with similar parts and make any necessary changes there as well, ensuring safety and meeting industry compliance standards.

## Demonstrating FDA Compliance Automatically

A life sciences organization needed to provide documents, allergy information, and health information in PDF format to the FDA whenever food product ingredients changed to satisfy compliance requirements. Organizations that need to meet FDA guidelines know that exactness and consistency are essential. Adlib provided the precise rendering and automation tools to deliver FDA-compliant documents from a diverse variety of source materials in a structured, consistent manner.



## Meeting Dodd-Frank Regulations and Managing Credit Risk

An international investment bank was looking to better manage \$3.3 trillion of credit risk within their international derivative swap contracts. They needed to find a replacement for error-prone and costly manual solutions for understanding key data points in ISDA contracts to meet Dodd-Frank compliance regulations. If they were able to extract the key data points from their contracts, they would be able to better understand and manage their credit risk, in addition to saving millions in outsourcing costs. Beyond the advanced Optical Character Recognition capabilities, Adlib was able to apply custom intelligent rules-based processes to search, extract, and analyze the key data points this organization required from their contracts.

