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## **IAPP Privacy Certification**

Continuing Privacy Education (CPE) Policy



## **Overview**

All CIPP® (CIPP/US®, CIPP/C®, CIPP/E®, CIPP/A), CIPM<sup>TM</sup> and CIPT<sup>TM</sup> holders must meet two minimum requirements over the two-year term of their certification in order to maintain credentialed status: (1) pay a certification maintenance fee for their term (for members this fee is included in their membership benefits) and (2) Submit evidence of 20 hours of continuing privacy education (CPE) for each certification they hold.

The intent of this policy is to ensure our certified individuals are remaining up to date with the latest developments in their particular designation or sector, while also allowing them the freedom to pursue privacy and/or security education that is most relevant to their interests and job requirements. There are a few features of the policy that merit particular attention:

- Individuals with more than one IAPP certification are able and encouraged to apply CPE credits to as many of their IAPP credentials as they see fit, as long as they believe the continuing education is relevant to those credentials. We understand that there can be substantial crossovers in privacy that break through the boundaries we place around sectors and jurisdictions. We believe it will be rare that people with multiple credentials will need to earn 20 unique credits for each designation
- The number of available CPEs for certain activities are capped to encourage certified individuals to pursue a variety of educational opportunities
- CPE Central on the IAPP website provides certification holders with numerous pretagged CPE opportunities
- The IAPP is not the only place to pursue continuing education. Events, seminars, training, and web conferences hosted by other professional organizations that inhabit the privacy, InfoSec. Data governance, and healthcare spaces are often CPE eligible

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This CPE policy was developed by the IAPP in conjunction with its advisory boards. The various requirements of the policy have been reviewed and approved by the Certification Advisory Board of the IAPP. The IAPP and the certification advisory boards established the CPE program and policy and are solely responsible for reviewing, approving and issuing CPE credits. For any questions or needs relating to continuing privacy education, please contact the IAPP directly (see CPE Contact Information below).

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## **Continuing Privacy Education**

#### **Definition**

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A continuing privacy education (CPE) credit is defined as a (usually) one-hour unit earned from participating in or attending any program, event, or forum, reading or writing any published written material, creating and administering a presentation, course of instruction, or other activity that relates to privacy and/or security.

#### **Certification Term**

The IAPP credential (CIPP/US, CIPP/C, CIPP/E, CIPP/A, CIPM or CIPT) is considered "active" beginning on the date that the individual credential holder has successfully completed the certification examination for that credential and has paid a certification maintenance fee or is an active member.

The credential term is two years from the first day of the month following the date the credential was earned and each anniversary thereof. A person with multiple credentials will adhere to the anniversary of his or her first credential, this is referred to as Term Alignment. If an additional certification is attained *past* year 1 of his or her existing term, CPEs will not be required for the new certification until the individual's next term starts (in alignment with his or her first certification.) He or she will then be required to complete the 20 additional credits. If the additional certification is attained during year 1 of the existing term, the full 20 CPE's will be required.

#### **Certification Maintenance**

To maintain the credential(s) in good standing, the certification holder must meet the minimum requirement of CPEs for each term as well as pay the certification maintenance fee of \$250 (this fee is included in membership benefits). If the credential holder fails in either of these obligations, the credential will be considered suspended and the individual may no longer represent the CIPP/US, CIPP/C, CIPP/E, CIPP/A, CIPM or CIPT designation in person or on business cards in professional correspondence or other communications; furthermore, the IAPP Membership Directory will not represent the member as an IAPP credential holder.

A certified individual will receive at least three email reminders to submit their required CPEs— 90, 30, and 7 days before their term is set to expire.

#### **CPE Submission**

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It is the certificant's responsibility to ensure that CPEs earned from IAPP and non-IAPP programming and events have been applied correctly to their accounts.

Certified individuals must use the <u>CPE Submission Form</u> to self-report all non-IAPP activities within 90 days of the event, otherwise the CPEs will not be accepted.

#### CPE Central

The IAPP has created a searchable database for all IAPP CPE-eligible activities. Certificants can search by certification designation, activity type, and CPE amount in order to find exactly what they need. CPEs are automatically added to the certificant's relevant certifications for all IAPP events.

#### **CPE Providers**

Each year, the IAPP designates many organizations as official CPE providers to give IAPPcertified individuals opportunities to earn CPE credits in addition to the ones the IAPP provides. All IAPP-approved CPE providers and events can be found on the Approved CPE Provider and <u>Industry Events</u> pages on the IAPP website with detailed information about CPE availability. This professional benefit is available to all IAPP-certified individuals, members and non-members alike. We provide each approved organization with a CPE Provider logo; if you are unsure whether a course is IAPP approved, please contact the Certification department.

## **Suspension and Reinstatement**

An individual's certification can be suspended due to a lack of membership or enrollment in the Certification Maintenance Fee (CMF), or for lack of their required CPE's. NOTE: The CMF is strictly a credential activation product and therefore runs concurrently with the Certificate term. Membership is not a certification-only product and as a result may have a unique start and end date separate from the certification term. IAPP membership benefits includes the CMF fee.

### Suspension for Lack of CPE's:

If, at the end of a certification term, the certificant has not completed and submitted the required amount of CPEs, his or her new term will begin in suspended status. When an individual's certification is suspended for lack of CPEs, he or she cannot submit CPEs earned in the prior term to cover the deficiency from that term; instead, he or she must earn additional CPEs during the new term to cover the gap from the prior term. Once the individual earns the CPEs owed from the prior term, the suspension will be lifted. Once the certification is returned to active status, the individual must then satisfy the regular CPE requirements for their credential(s) for the current

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term. For example, someone with a CIPP/US whose term expires while she still owes 8 CPEs will then need to earn 28 during the new term. Once she submits 8 CPEs, her certification will return to active status and she will be required to earn the 20 CPEs for the current term.

### Suspension for Lack of Membership:

If a certificant's membership lapses during his or her certification term, the certificant's certification(s) will be suspended immediately. The certification(s) will be reinstated once payment of all missing fees has been processed.

## Suspension for Lack of CMF:

If, at the end of a certification term, the certificant has not paid the CMF for the next term, his or her next term will begin in suspended status. The certification will become active once the individual pays the CMF.

## An individual's certification will be revoked if any of the following three events occur:

- 1. If, during an individual's certification term, he or she has been suspended for failure to pay the certification maintenance fee, and at the end of the suspended certification term the fee has not been paid, the credential will be revoked.
- 2. If, during an individual's certification term, he or she has been suspended for failure to pay renewal membership fees, and at the end of their current certification term the fees have not been paid, the credential will be revoked.
- 3. If, at the end of a certification term, an individual is suspended for failure to submit the required CPEs and does not remedy the CPE shortage by the end of his or her next term, the credential will be revoked.

Certificants with revoked credentials will be required to retake and pass the certification designation(s) exams and if necessary, pay the certification maintenance fee (non-members) or restore active IAPP membership status

## **Multiple Credentials**

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People with two or more credentials are required to earn 20 CPE credits for each credential per two-year term.

### Term Alignment

All certifications will eventually align to the start and end dates of the credential holder's first earned certification. When an additional certification is earned, the new certification will automatically adopt the end date of the original certification.

If an additional certification is attained past year 1 of his or her existing term, CPEs will not be required for the new certification until the individual's next term starts (in alignment with his or her first certification.) He or she will then be required to complete the 20 additional credits. If the additional certification is attained during year 1 of the existing term, the full 20 CPE's will be required.

When the end date of the certifications arrives, and all requirements have been met, all certifications will run concurrently for the same two-year term.

## **Surplus CPE Credits**

In the event that an individual exceeds the required CPEs in two-year period, that individual may carry over a maximum of 10 credits per certification as long as they were earned in the 6 months prior to the end of the recertification period.

#### **Documentation**

Certified individuals must use the CPE Submission Form to self-report all non-IAPP activities but are not required to submit supporting documentation at this time; however, you must retain documentation in your own records in the event you are randomly selected for a CPE audit. Documentation might include an email confirmation from an event or a receipt from a webinar. You should retain these records each year for three months after your anniversary date.

Certified individuals must self-report all non-IAPP activities within **90 days** of the event, otherwise the CPEs will not be accepted.

#### **Audit**

The IAPP will conduct periodic random audits of CPE accounts and request documentation for events and activities not automatically credited to a certificant's account (those submitted by the certificant). Certificants randomly selected for an audit will be notified by e-mail. Audits may result in any of the following findings:

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1. Acceptable documentation and verification of CPE credit hours submitted.

- 2. Unacceptable documentation and request for further documentation. Such further documentation must be received by the IAPP with 30 days of the request.
- 3. CPE credit hours will be denied for either (a) unacceptable documentation or (b) failure to respond within the specified period, and the credit hours will be subtracted from the certificant's CPE account.

If, in the course of an audit or by any other means, the IAPP determines that a certificant has submitted CPE credit hours for activities in which he or she did not participate, the certificant's CIPP, CIPM or CIPT credential(s) will be immediately and permanently *revoked*.

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## **Eligible Activities for Continuing Privacy Education Credit**

The following programs are eligible for CPEs. IAPP-certificants are responsible for reviewing the requirements for submitting credit for these programs. Please note, CPEs awarded for attending IAPP conferences, web conferences and Knowledge-Nets will be automatically applied to your IAPP certification account; you are not required to self-report for these activities.

• ATTENDING IAPP CONFERENCES AND PARTNERED EVENTS = Up to 12 CPEs per event, per attendee

Includes the Global Privacy Summit, Privacy. Security. Risk (Formerly Privacy Academy), IAPP Canada Privacy Symposium, IAPP Europe Data Protection Congress, IAPP Europe Data Protection Intensive, Practical Privacy Series and IAPP Asia Privacy Forum. If you hold multiple credentials, the maximum allowed credits for pre-conference workshops, privacy training and/or the main conference attended will be automatically applied to each designation you hold. Note: IAPP conference attendees who become certified at that conference will be able to claim CPEs for that conference.

• IAPP LIVE AND RECORDED AUDIO/VIDEO PROGRAMS = 1 CPE per hour

Awarded CPE credits from an IAPP web conference, live or recorded, will be automatically applied to your IAPP account within 24 hours of purchase. In the event that the audio conference phone line is used by multiple employees of the same organization (who also are IAPP-certified), each participating employee (other than the registered user) from that organization will need to complete and submit a <a href="CPE Submission Form">CPE Submission Form</a>, listing the name of the registered user, as soon after the audio conference as practicable.

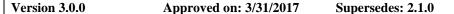
• SPEAKING OR PRESENTING AT AN IAPP EVENT OR ONLINE PROGRAM= 3 CPEs per hour of speaking/presenting for the first delivery of the presentation; 1 CPE per hour for redelivery of the same material.

Awarded CPEs from speaking or presenting at an IAPP event or online program will be automatically applied for you to your IAPP account after the event has taken place.

• ATTENDING NON-IAPP PRIVACY EVENTS OR ONLINE PROGRAMS= 1 CPE per hour, up to 12 CPEs per event, per attendee

CPE credits are awarded to CIPP, CIPM and CIPT holders who attend events, forums and online programs that are provided by other organizations and agencies. For your convenience we have a listing of approved privacy training and activities available on our website (see <a href="IAPP's Industry Events">IAPP's Industry Events</a> page or <a href="IAPP's Approved CPE Providers">IAPP's Approved CPE Providers</a> page).

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• SPEAKING OR PRESENTING AT NON-IAPP EVENTS OR ONLINE PROGRAMS = 3 CPE per hour of speaking/presenting for the first delivery of the presentation; 1 CPE per hour for redelivery of the same material.

CPE credits are awarded to CIPP, CIPM and CIPT holders who speak before an audience or present a program provided that such an appearance/presentation/course covers content in privacy and/or security.

- The certificant should retain *one or more* of the following forms of documentation in the event of an audit: the program agenda, speaker profile and/or panel description.

#### • IAPP KNOWLEDGE-NET MEETINGS = 1 CPE per hour per attendee

In order to receive credits for IAPP Knowledge-Net, each IAPP-certified professional must sign the attendance sheet at the meeting. Awarded CPEs for attending an IAPP Knowledge-Net will be automatically applied to your IAPP account two weeks after the event has taken place.

• IAPP CERTIFICATION TRAINING = 13 CPEs per program, per attendee Includes both instructor-led and media-based training.

Note: because CPE activities must be completed <u>after</u> initial certification, only existing CIPPs, CIPMs and CIPTs and those who certify at an IAPP conference after taking IAPP training at that conference are eligible for CPE credit for certification training.

Only IAPP-certified individuals who are confirmed purchasers of the media-based training program will be awarded CPE credits. Please use the <u>CPE Submission Form</u> to report CPEs for media-based training.

• IAPP TRAINING FACULTY: 1 CPE per session hour, max 16 CPEs per recertification period.

IAPP training faculty will have CPEs automatically applied to their IAPP account after the training has taken place. Upon reaching the maximum allowed CPEs for training with the IAPP, no more CPE credits will be applied.

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# • NON-IAPP PRIVACY TRAINING, INCLUDING WORKPLACE-REQUIRED PRIVACY TRAINING=1 CPE per hour for attendees and presenters

Validated by proof of attendance or completion of an assessment.

• PRIVACY-SPECIFIC READING MATERIALS = 1 CPE per 50 pages of text/max of 5 CPE credits per certification period

*Includes selections from the* IAPP's Authoritative Resource List(s).

CPE credits are awarded to CIPP, CIPM and CIPT holders in recognition of reading efforts and self-study activities based on a formula where 1 CPE credit is awarded for every 50 pages of written text that is read by the applicant. For example, a 300-page book on data privacy laws is eligible for the maximum of 5 CPE credits.

#### • IAPP WEBSITE CONTENT

Certain IAPP website content of sufficient breadth and importance will be eligible for CPE credit. These eligible articles, studies, and white papers will be clearly tagged on the site with the designation(s) the content relates to, and the number of CPEs available.

#### • PUBLISHED MATERIALS

CPE credits are awarded to CIPP, CIPM and CIPT holders who produce publicly accessible writing on privacy or security. The published materials should be research-based and reflect privacy or security knowledge or the dissemination of privacy or security knowledge. Eligible examples include white papers, articles, newsletters and blogs. In the event of a CPE audit, a certified individual must provide access to the published materials.

- ➤ 1 CPE for an employer-issued newsletter
- ➤ 2 CPEs for an article in an industry group publication
- ➤ 3 CPE for an article in a publication of general circulation
- > 5 CPE for a law review article or book chapter
- ➤ 10 CPE for an entire book

# • ATTENDING ACADEMIC CLASSES IN PRIVACY = 3 CPEs per academic credit hour, up to 12 CPEs per class

CPE credits are awarded to CIPP, CIPM and CIPT holders who successfully complete academic courses in privacy or security with a minimum C (or equivalent) grade. In the event of a CPE audit, the certificant must provide an official copy of the transcript issued by the academic institution.

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• TEACHING ACADEMIC CLASSES IN PRIVACY = 3 CPEs per academic credit hour, up to 12 CPEs per class

CPE credits are awarded to CIPP, CIPM and CIPT holders who teach a course of instruction provided that such an appearance/presentation/course covers content in privacy and/or security.

- COACHING/MENTORING AN EMPLOYEE IN PRIVACY OR SECURITY=3
  CPEs per mentoring relationship
  - In the event of a CPE audit, the certified individual will need to provide evidence supporting their coaching or mentoring arrangement.

#### **IAPP VOLUNTEER ACTIVITIES**

- IAPP BOARD OF DIRECTORS AND CERTIFICATION ADVISORY BOARD PARTICIPATION = 5 CPEs per year
- ALL OTHER IAPP ADVISORY BOARD PARTICIPATION = 3 CPEs per year

CPE credits are awarded to individuals who serve as a member of an IAPP board for a length of one full term. Credits will be *automatically* awarded in December for service performed during that year. Active participation in board meetings is required to qualify for full credit. Eligible boards are the, Certification Advisory Board, Education Advisory Board, Exam Development Boards, Publications Advisory Board, Canadian Advisory Board, and European Advisory Board

• IAPP KNOWLEDGENET CHAIR = 3 CPEs per year per chairperson

CPE credits are awarded to individuals who serve as a chairperson for IAPP KnowledgeNet locations. Credits will *automatically* be awarded in December for service performed during that year.

• PROCTORING IAPP EXAMS=3 CPEs per proctoring session

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## **Certification Disputes**

In the event of a dispute regarding certification status, CPE status or CPE credit value, concerned credential holders may contact the IAPP at <a href="mailto:cpe@iapp.org">cpe@iapp.org</a> to resolve the matter informally. Formal complaints may be made by completing the <a href="mailto:IAPP Complaint Submission Form">IAPP Complaint Submission Form</a> within 30 days of the incident's occurrence and sending it to the IAPP Certification Operations Manager. The submission may be mailed, e-mailed or faxed. The submission shall include sufficient objective evidence to substantiate the claims and allow for a decision to be made and the appropriate action to be taken. The IAPP will acknowledge the complaint within 10-days of receipt, providing a description of the complaint process.

#### **CPE Contact Information**

Continuing Privacy Education Programs
IAPP
Pease International Tradeport
75 Rochester Ave., Suite 4
Portsmouth, NH 03801 USA
+1 603.427.9200
cpe@iapp.org

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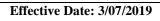


## **CPE Credit Guide**

Activity	Participant	Max	Speaker/Presenter/Teacher/A uthor	Max
IAPP-sponsored or partnered events	1 CPE per hour	12 per event	3 CPEs per hour of speaking/presenting for the first delivery of the presentation; 1 CPE per hour for redelivery of the same material.	12 per term
Privacy events not hosted or sponsored by the IAPP	1 CPE per hour	12 per event	3 CPEs per hour of speaking/presenting for the first delivery of the presentation; 1 CPE per hour for redelivery of the same material.	12 per term
Reading	1 CPE per 50 pages	5 per certification period		
Podcasts or Web Conferences	1 CPE per hour		3 CPEs per hour of speaking/presenting for the first delivery of the presentation; 1 CPE per hour for redelivery of the same material.	
Academic coursework in Privacy	3 CPEs per academic credit hour	12 per term	3 CPEs per academic credit hour	
IAPP Certification Training	13 CPEs per program, per attendee	13 per term	1 CPE per hour of teaching for first delivery/1 CPE per hour for re-delivery	16 per term
Non-IAPP Privacy Training	1 CPE per hour	13 per term	1 CPE per hour of teaching for first delivery/1 CPE per hour for re-delivery	16 per term
Workplace-required Privacy Training	1 CPE per hour	13 per term	1 CPE per hour of teaching for first delivery/1 CPE per hour for re-delivery	16 per term
Published materials			<ul> <li>1 CPE for an employer-issued newsletter</li> <li>2 CPEs for an article in an industry group publication</li> <li>3 CPE for an article in a publication of</li> </ul>	

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			general circulation  • 5 CPE for a law review article or book chapter (since probably a similar amount of work)  • 10 CPE for an entire book	
IAPP Knowledge-Nets	1 CPE per hour		3 CPE per year	
Coaching/mentoring			3 CPE per mentoring relationship	6 per term
Certification Advisory Board (CAB)	5 CPE per year			
All other IAPP Advisory Boards	3 CPE per year			
Proctoring IAPP	1 CPE per	3 CPE per		
exams	Proctoring session	term		