8th Asia
Anti-Corruption Compliance
Summit 2021

December 2-3, 2021
Shanghai Marriott Hotel Hongqiao
Shanghai, China

Highlights

- Recent Actions and Investigations in FCPA and UKBA Enforcement and Policy Updates
- Deep Interpretation of China New-Unfair Competition Law
- Practical Anti-Corruption Strategies and Recent Case Study from Southeast Asia Countries, Japan, South Korea, India, etc
- Latest Developments regarding GDPR, Cyber Security, Data Privacy, Non-Prosecution of Criminal Compliance
- Data Security Law and the Anti-Foreign Sanctions Law and How Relevant Blocking Regulations Might Impact the Investigatory and Auditing
- Multi-Jurisdictional Coordination between USDOJ, SEC and SAMR
- Building the Updated and Robust Compliance Systems to Encounter Anti-Money Laundering, Global Trade Compliance, Anti-Trust, Anti-Corruption and More
- Best Practices for the Third Party Due Diligence and Risk Control
- How to Use New Technology to Strengthen Compliance System
**8th Asia Anti-Corruption Compliance Summit 2021**

December 2-3, 2021. Shanghai Marriott Hotel Hongqiao, Shanghai, China

**DAY ONE**

December 2, Thursday

### Status of Global Anti Corruption and Sanction Enforcement

9:00-10:00

<table>
<thead>
<tr>
<th>In-depth Interpretation of China New-Unfair Competition Law</th>
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<tr>
<td>• New Legislative Trends</td>
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<td>• Deep Insights into Highly-Focus Items</td>
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<td>• Shanghai Experience: Corruption Crackdown and Investigation</td>
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<td>• Further Advice and First-Hand Case Study</td>
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<td>• Q&amp;A</td>
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**Director**
Shanghai Market Supervision Administration

10:00-11:00

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<thead>
<tr>
<th>Recent Actions and Investigations in FCPA Enforcement</th>
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<tbody>
<tr>
<td>• FCPA Update: DOJ's Compliance Guidance - Past and Present</td>
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<td>• Lessons Learned from Key US and International Investigations and Settlements</td>
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<td>• How the DOJ and SEC Have been Collaborating on Enforcement Matters</td>
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<td>• Case Study</td>
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<td>• Q&amp;A</td>
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**Daniel Kahn**
Acting Chief, Fraud Section, Criminal Division
U.S. Department of Justice

11:00-11:15 Tea-Break and Networking

11:15-12:00

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<thead>
<tr>
<th>The Trends of Criminal Investigations in Commercial Bribery and Case Study</th>
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<tr>
<td>• The Evolution of Bribery Methods</td>
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<td>• The Application of New Technology in Evidence Collection and Cracking Down</td>
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<td>• Preventive Measures in Commercial Bribery</td>
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<td>• The Development of Social Credit System and Its Impact</td>
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<td>• Q&amp;A</td>
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**Director**
Shanghai Municipal Public Security Bureau

12:00-13:30 Luncheon and Networking
**Latest Anti-Corruption and Compliance Developments**

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<thead>
<tr>
<th>Time</th>
<th>Session</th>
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<tbody>
<tr>
<td>13:30-14:15</td>
<td>ISO 37301: The Importance of the New Global Anti-Bribery Standard in the APAC Context</td>
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<td>● Globalized Enterprise Compliance Management System</td>
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<td>● Integrated Management System based on ISO 37301</td>
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<td>● BSI Service for Compliance Management System</td>
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<td><strong>Jerry Liu</strong>&lt;br&gt;VP Solution&lt;br&gt;BSI</td>
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<td>14:15-15:00</td>
<td>Panel Discussion: Culture of Compliance Journey</td>
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<td>● The Best Compliance Culture: Mandatory or Persuasive</td>
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<td>● Key Elements in Fostering a Culture of Compliance</td>
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<td>● Setting the Tone at the Top and Middle: Implementing an Effective Communications Strategy</td>
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<td>● Practical Insights: How Culture Impacts Compliance</td>
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<td><strong>Katharine Bostick</strong>, Assistant General Counsel, Director of Compliance, Microsoft&lt;br&gt;<strong>Sharon Zhang</strong>, Head of Ethics and Compliance, GSK&lt;br&gt;<strong>Animesh Ballabh</strong>, Compliance Director – Asia Pacific, ADM&lt;br&gt;<strong>Fang Liu</strong>, Vice President, General Counsel &amp; Chief Compliance Officer, NIO</td>
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**Best Compliance, Internal Control Practices**

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<th>Time</th>
<th>Session</th>
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<td>15:00-15:45</td>
<td>Corporate Self-Assessment and Internal Investigation</td>
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<td>● What Are the Core Legal Risks to Your Company</td>
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<td>● How to Identify Risk Sources, How to Assess Risks and How to Control Risks</td>
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<td>● Tips for Doing an Internal Investigation</td>
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<td>● Lessons Learned from Real Cases</td>
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<td></td>
<td><strong>Sarah Wang</strong>, Deputy General Counsel, International &amp; Japan, AstraZeneca&lt;br&gt;<strong>Calvin Bao</strong>, Legal F&amp;R Investigation Lead APAC, Accenture&lt;br&gt;<strong>Christine Houn</strong>, Head of Anti-Bribery &amp; Corruption, Financial Crime, HSBC&lt;br&gt;<strong>Cherry Fan</strong>, General Counsel Asia, Stanley Black &amp; Decker</td>
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<tr>
<td>15:45-16:00</td>
<td>Tea-Break and Networking</td>
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</table>
Panel Discussion: How Bribery Schemes are Getting More Sophisticated and How to Detect Them

- How Multinationals in APAC Are Upgrading Their Compliance Programs in Response to Global Trade Tensions
- How to Integrate Data Security, Anti-Bribery, Antitrust and other Core Requirements into Your Global Compliance Program
- How to Maintain An Effective Global Sanctions Compliance Programme
- How to Deal with Client Referral Program and How to Move Forward Post-Settlement

Qiao Gangliang, VP & General Counsel APAC, Danaher Corporation
Stephanie Chew, Sr. Director, Global E&C - JAPAC, Edwards Lifesciences
Ray Xu, VP – Legal APAC, Pernod Ricard
Shaun Wu, Partner, Paul Hastings

Risk Assessment and Monitoring

How to Build an Effective Global Risk Mechanism to Strengthen Your Global Anti-Corruption Compliance Program

- Creating and Maintaining a Risk Management Culture
- Establish a Process for Identifying, Prioritizing, Managing, Monitoring and Reporting Risks
- Effective Risk Assessment and Monitoring in High Risks Countries when You Invest New Business
- Creating Linkage between Risk Identified at Project, Business and Enterprises Level
- Q&A

Marcel Radunski
VP, Head of Legal and Compliance Asia Pacific
Siemens

Bridging the Gap Between Intentions and Behavior

- Compliance Trend
- Bridging the Gap between Intentions and Behavior
- Challenges and Solutions
- Q&A

Barbara Tsai
APAC & Deputy Global Head of Anti-Bribery & Corruption
UBS

18:00 End of the First Day
DAY TWO  December 3, Friday

Innovative Technology to Better Your Compliance Program and Mitigate Third Party Risks

### Panel Discussion: Latest Technology Helping Us to Strengthen Compliance Systems

- How does Big Data Help Manage Compliance
- Where does Machine Learning Fit Into Compliance Technology Solutions
- How to Use AI Technology to Combat Bribery
- Case Study
- Q&A

**Yolanda You, Associate Director Risk Advisory, Deloitte**

**Sunny Wu, Global Ethics and Compliance APAC, Eaton**

**Ginger Lu, Vice President, Legal & Compliance, APAC, Smith & Nephew**

**Per Hoffman, VP and Head of Legal Affairs and Sourcing, Ericsson**

### 9:45-10:30 How to Cooperate with Enforcement Agency During Dawn Raids

- Prior to a Dawn Raid, What Kind of Plan Should Organizations Have
- In the Event of a Dawn Raid, What Immediate Actions Should a Company Take
- What Key Rights and Obligations Do Company Have during and after a Dawn Raid
- How to Clean Up after a Dawn Raid
- Q&A

**Open for Sponsor**

### 10:30-10:45 Tea-Break and Networking

### 10:45-11:30 Best Practices in Third Party Diligence with a Focus on Risk Assessment

- Third Party Due Diligence Risk-Based Model
- Key Considerations When Conducting Due Diligence
- Red Flags to Watch Out for
- SOP to Follow Up if Your Business Partner in APAC Is under Investigation
- Case Study

**Pia Vining**

**Vice President**

**TRACE Compliance, Inc.**
11:30-12:15 Panel Discussion: Fraud Risks and the Importance of Using Intelligence to Mitigate Third Party Risks

- What Areas Present the Most Risk in South East Asia
- How Big Data Analysis and AI is Applied to Detect Third Party Risk
- How to Mitigate and Manage Discrepancies among South East Asia Countries in Third Party Risk
- Case Study

Henry Li, Head of Law for China & Singapore, Philip Morris International
Ding Chen, General Counsel APAC, ZF
Gary Gao, Partner, Zhonglun Law Firm
Edwin Li, Vice President & General Counsel, DSM China

12:15-13:30 Lunch and Networking

World Bank’s Role in The Global Compliance Systems

13:30-14:15 The World Bank Global Anti-Bribery Framework

- Why Fighting Corruption Matters
- World Bank Sanctionable Practices Frameworks
- IFC’s Integrity Due Diligence Process
- Q&A

Meena Sankar
Lead for IFC’s Anti-Corruption and Integrity Risk Management in Asia Pacific World Bank

Latest Developments on GDPR, Cyber Security, Data Privacy, the Anti-Sanction Law, and Non-Prosecution of Criminal Compliance

14:15-15:00 The Legal Standards for the Non-Prosecution of Criminal Compliance

- The Analysis of the Key Elements
- Looking Back on the Pilot Project in Several Provinces and Looking Forward to the Future Developments
- Real Life Case Studies
- Q&A

Gu Jia
Director General
Shanghai People’s Procuratorate
### Data Security Law, Anti-Sanction Law and How Relevant Blocking Regulations Might Impact the Investigatory and Auditing

- Recent Key Actions of China and the U.S
- The Data Security Law, the Anti-Foreign Sanctions Law and their Restrictions
- Response Measures in Overseas Commercial Anti-Bribery Investigations

Michelle Gon  
Partner  
Han Kun Law Offices

### Tea-Break and Networking

### Data Protection Regulatory Framework & Steps to Data Protection Compliance

- How to Deal with Data Localization Requirement  
- Main Challenges: Data Compliance Requirements and Supply Chain Accountability  
- The Steps to Data Protection Compliance

Paul Pu, Partner, KPMG  
Harry Liu, Partner, King & Wood Mallesons  
Frances Dai, Compliance Officer APAC, Robert Bosch  
Shen Nan, Chief Legal Officer, ZTE

### Problem-Solving Advice and Personal Experience from Leading Compliance Professionals

### Compliance and Ethics in a Post COVID-19 World

- Changes for Compliance Post COVID-19 in the New “Normal”  
- Challenges that Organisations Experienced and Solutions for future Compliance Management  
- Case Study

### Compliance Audit: Internal Control Point of View & Preparedness Kit (Key Audit Points)

- Why Compliance is Important?  
- Key Elements: Toolkit  
- Conclusions & Points of Attentions

Martin Wang  
Regional Chief Compliance Officer, APAC  
Trane Technologies

### End of Two-day Conference

Please fill out the registration form in the next page if you would like to join in the summit.