



### Second Quarter Questionnaire

Investment Framing: What stage of your investment life are you in?

- 1. Accumulation
- 2. Distribution
- 3. Conservation

Areas of Concern regarding your investment plan

- 1. Risk of stock market
- 2. Adding more Risk-based investments
- 3. Adding more investments with low correlation to the stock market
- 4. Increasing Fixed Income (Bonds)
- 5. Increasing Yield
- 6. Increasing Growth
- 7. Lowering Risk
- 8. Capital Gains Tax
- 9. Investment income tax
- 10. Individual investment ideas
- 11. Private Equity Opportunity



WEALTH  
MANAGEMENT

Phil Clark, CPWA<sup>®</sup>, CFP<sup>®</sup> CLU<sup>®</sup>

Director – Wealth Management

225 South Lake Avenue, Suite 400

Pasadena, CA 91101

P: (626) 449-4225

F: (626) 449-4471

[KROSTWealth.com](http://KROSTWealth.com)

Investment themes you would like to discuss

- 1. Socially Responsible Investing
- 2. Environmental, Social and Corporate Governance Investing
- 3. Direct Indexing
- 4. Tax Managed Investing
- 5. Tax Free Investing
- 6. International Investing
- 7. Crypto

Feeling about investing: How do you feel about the next 12-18 months investment environment

- A. Anxious – Market goes down
- B. Excited - Market goes up
- C. Unsure – Don't know
- D. Apathetic – Don't care