Phil Clark, CPWA®, CFP® CLU®
Director – Wealth Management
225 South Lake Avenue, Suite 400
Pasadena, CA 91101
P: (626) 449-4225
F: (626) 449-4471
KROSTWealth.com

Annual Plan Review Checklist

As is our process, your annual plan review is coming up. This checklist should serve as a benchmark of both events that have happened to you since our last review and items that are of concern to you that need to be addressed. Please complete and send prior to your review appointment.

What happened in last 12 months?

☐ New child or grandchild	☐ Change in marital status	☐ Death of family member
☐ New job or promotion	☐ Change in estate plan	☐ New investments or insurance
☐ Receipt of an inheritance	☐ Sale or purchase of home	☐ Retirement
☐ Major investment gain/loss	☐ Start/purchase a business	☐ Gain/loss business partner
☐ Health concerns	☐ Sold or acquired assets	☐ Other: ___________________

Areas of Interest or Concern for next 12-36 months

☐ Retirement planning	☐ Education funding	☐ Investment review
☐ Estate planning	☐ Income tax planning	☐ Survivor benefit planning
☐ Major asset purchase/lease	☐ Planning for parents	☐ Health/LTC planning
☐ Business/exec. benefits	☐ Business continuation	☐ Charitable giving
☐ Pers. property/liability ins.	☐ Disability income	☐ Other: ___________________

Additional Comments and Notes

____

____

____

____

____

____

____