CAS

DUAL USE TOOL

Having Trouble Efficiently and Accurately Classifying Your Goods?

Dual use classification requires the determination of whether a given item or project is controlled under dual use legislation—a customs control that applies to products, technology, and research and development projects that can be used for both civilian and military applications. Classification can be a complex process, however, and incorrect classification can lead to delays and penalties for non-compliance.

The CAS Dual Use Classification Tool for export controls is a user-friendly module designed to assist the staff responsible for classifying dual-use items, R&D projects, or any other classification requirement. It guides users through questionnaires step by step, fluidly moving them through a decision tree of questions and producing classification results that are housed within a centralised platform and can be shared throughout the organisation.

Questions are based on the export controls' legal framework and worded in such a way that no legal knowledge is required—users must simply be familiar with the item or technology to be classified or should have access to this information.

Easy setup

> "
> **As a leader in our market, it is essential that our customs and trade operations run smoothly. Customs4trade's Dual Use Tool guided us through the classification of our projects and technology step by step. We're now confident that all of our projects are legally classified and compliant.**
> Rik Legrand, Business Process Consultant, Atos Worldline
> "

KEY BENEFITS

1   **Traceability & auditability.** Track the answers to the Dual Use questionnaires easily with user and date stamp information.

2   **Guaranteed compliance.** Demonstrate guaranteed export control compliance at any point in time with on-demand reports and logs, mitigating risks regarding incorrect goods classification.

3   **Easy access.** Access the SaaS system securely from a browser with SSL certificates. No installation needed.

4   **Time saving.** Say goodbye to multiple spreadsheets or Word documents. The Dual Use Tool automatically compiles answers and can be used by multiple users in different locations at the same time.

5   **Visibility.** Get instant status reports and gain insights into the completed questionnaires across all operations and countries.

CAS

DUAL USE TOOL

HOW IT WORKS

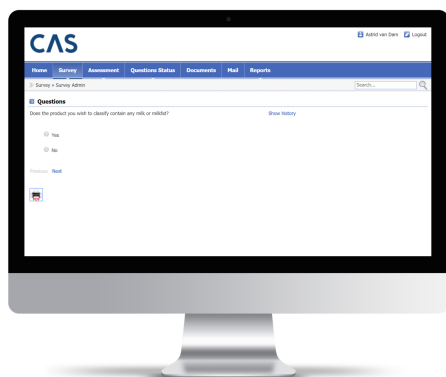**Delegate classifications to product experts. CAS has all the legal knowledge built in.**

The CAS Dual Use Tool allows Compliance Managers to assign classification tasks to product experts without them needing to worry about the legal framework—we've built it all in. Managers can create users within a few seconds and, from there, the tool will guide them through each step.

Users automatically receive an invitation to begin the assessment, which includes the URL to access the tool as well as individualised credentials. Once logged in, users can choose the questionnaire they want to work on by selecting the relevant checkboxes. The tool then guides the user through a succession of questions, each based on the result of the previous answer, following a decision-tree structure compliant with export controls' legal framework for classification. Some questions require ticking a 'yes' or 'no' box while others present multiple-choice descriptions. For specific questions, official technical notes are available by clicking the information icon.
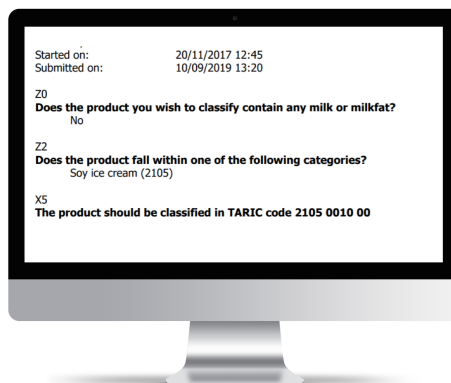
Questionnaires are auto-saved and may be resumed and completed at a later time. Finished questionnaires will result in a classification of 'no dual use item,' 'dual use item,' or the request to contact the Compliance Manager. The outcome of the questionnaires can be accessed by the Compliance Manager at any time and reports with details of the decision path can be easily shared within your organisation or with business partners and third parties via built-in email functionality.

Since the Export Controls Compliance Program may require companies to demonstrate when and by whom the assessment of the projects and/or dual use items was executed, the tool allows for the addition of the name or internal reference date of the project to the report. Furthermore, a log file is available for the internal Export Controls Coordinator with details of which users have completed which questionnaire and when.

Upon request, C4T's experts can assist in reviewing survey results and/or applying for export licenses.

Answer by ticking the boxes & get explanatory notes where applicable

Print the outcome of your dual use questionnaire & get a log file

MAIN FEATURES

Collaboration   **Automation**   **Visibility**   **Configuration**

MAIN FEATURES

Collaboration

- Collaborate online using our questionnaires for dual-use goods or any other classification requirement
- Delegate classifications to product experts
- Gather data for all sites or business units in one single platform
- Create an automated workflow to get questions answered
- Resume classification questionnaires at any time in the "Incomplete Surveys" section
- Review the results of the questionnaire, analyse, and coordinate with the Export Controls authorities
- Share information on completed classification questionnaires within your organisation and with third parties and business partners via email

Automation

- Create an automated workflow to assemble answers for determining dual-use items, R&D projects, or any other classification requirement
- Get tooltips definitions, technical notes, and other classification codes
- Access the history of previous questions and answers
- Change your answers easily as you move through the questionnaire's decision tree
- Resume the questionnaire from the last completed question, thanks to our auto-save functionality
- Resume and finalise incomplete questionnaires started by team members as Compliance Manager

Visibility

- Display questionnaire results
- Create PDFs of each question separately or bundle one or multiple completed questionnaires
- Track the history of the questions asked and answers given
- Get an overview of questions with details of the decision path and reference data to the legal context (including print functionality)
- Access log files of questionnaires available, including date stamp and user details
- Generate ad-hoc reports on completion status
- Access the overview of complete and incomplete questionnaires as Compliance Manager

Configuration

- Create user profiles
- Create your own questionnaires
- Provide access to multiple users from different parts of the organisation
- Assign roles for each user (Compliance Manager, Responder)
- Segregate access to information based on user and/or role
- Copy functionality to enable re-use of answers from previous questionnaires

Make sure your products are classified correctly the first time.
Contact us for a demo of the CAS Dual Use Tool.